

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031
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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/916,135
Filing Date	July 25, 2001
First Named Inventor	Matsuzaki, et. al.
Group Art Unit	TBD
Examiner Name	TBD
Attorney Docket Number	3414

Total Number of Pages in This Submission

3**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐ Petition to Convert to a
Provisional Application☒ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)
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Remarks

**Charge any additional fees required under 37 CFR 1.16 and
1.17 to Account No. 01-0431****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
or
Individual name

Sandra Wells, Reg. No.- 52,349

Signature

Sandra Wells

Date

12/9/02

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Signature

Lori Garcia

Date

12/9/02

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PTO/SB/81 (11-96)
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**POWER OF ATTORNEY OR
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APPLICATION**

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I hereby appoint:

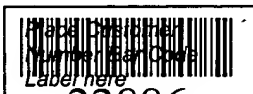
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I am the:

☐ Applicant.

☒ Assignee of record of the entire interest

Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

Name Barbara A. Caulfield

Signature

Date

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Matsuzaki, et. al.

Application No./Patent No.: 09/916,135

Filed/Issue Date: July 25, 2001

Entitled: Complexity Management of Genomic DNA

Affymetrix, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012451, Frame 0310, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
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Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/05/02
Date

Barbara A. Caulfield
Signature

Barbara A. Caulfield

Typed or printed name

Exec. V.P. and General Counsel

Title

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